

11-21-01

A

Page 1 of 2

Atty Docket No.: 1552/2A

Total Pages: 119

PATENT APPLICATION  
TRANSMITTAL

First Inventor or Application Identifier: Lui

Express Mail No. ET 700466661 US

BOX PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

Re: Applicant(s) or Identifier: Philip Lui et al.  
 Serial No. : To be Assigned (Continuation of 09/306,869)  
 Filed : Concurrently Herewith  
 Title : SYSTEM AND METHOD FOR DYNAMIC ASSISTANCE IN SOFTWARE APPLICATIONS USING BEHAVIOR AND HOST APPLICATION MODELS

Kindly file the annexed papers indicated below:

- Application for Patent including Specification (93 pages) and Drawings (19 sheets)
- Preliminary Amendment (2 pages)
- Oath or Declaration (3 pages)
  - Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional)
  - Return Receipt Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

- Continuation  
of prior application serial no. 09/306,869  
Prior application information: Examiner: C. Dela Torre  
Group / Art Unit: 2173

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

11/20/01



JC839 U.S. PTO

09999716-12004

J1040 U.S. PTO  
09/989716



The filing fee has been calculated as follows:

	Claims *	Claims Paid for	Extra	Rate (Sm. Ent.)	Fee	Rate	Fee
BASIC FILING FEE				\$ 370	\$370	\$ 740	\$
Total	27	- 20 =	7	x \$9	\$63	x \$18	\$
Indep.	3	- 3 =	0	x \$42	\$0	x \$84	\$
MULTIPLE DEPENDENT CLAIM PRESENT				+ \$140	\$0	+ \$280	\$
<b>TOTAL</b>					<b>\$</b>		<b>\$</b>

\* As amended in enclosed Preliminary Amendment, if any.

A check in the amount of \$ .00 is enclosed.

The Commissioner is hereby authorized to charge the fee of \$ .00 to the undersigned attorney's Deposit Account No. \_\_\_\_\_.

### FEE TO BE PAID LATER

Please direct all correspondence to the following address:

Brown Raysman Millstein Felder & Steiner LLP  
900 Third Avenue  
New York, New York 10022  
(212) 895-2000

Respectfully submitted,

Dated: November 20, 2001

By:



James J. Woods, Reg. No. 47,184  
Brown Raysman Millstein Felder & Steiner LLP  
Attorney for Applicants  
900 Third Avenue  
New York, New York 10022  
Phone: (212) 895-2900 Fax: (212) 895-2900

0999716-12004